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Atty. Docket No. INK-024C1  
(2108/15)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANTS: Albert *et al.* CONFIRMATION NO.: 6701  
SERIAL NUMBER: 10/701,880 ART UNIT: 2673  
FILING DATE: November 5, 2003 EXAMINER: Not yet assigned  
TITLE: REAR ELECTRODE STRUCTURES FOR ELECTROPHORETIC  
DISPLAYS

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**Particulars of Prior Application:**  
Serial No.: 09/141,448  
Filed: August 27, 1998  
Issued as: U.S. Patent No. 6,664,944

**INFORMATION DISCLOSURE STATEMENT**


Sir:

In accordance with the provisions of 37 C.F.R. 1.97 and 1.98, Applicants hereby make of record the patents and publications listed on the accompanying Form PTO-1449, and other information contained herein, for consideration by the Examiner in connection with the examination of the above-identified patent application. Pursuant to 37 C.F.R. § 1.98 (d), references were previously cited and made of record in the prior application, United States Serial No. 09/141,448, which is relied upon by the present application for an earlier effective filing date under 35 U.S.C. § 120. Accordingly, copies of the same are not enclosed. In accordance with the provisions of 37 C.F.R. 1.97, this statement is being filed before the mailing of a first Office action on the merits.

Respectfully submitted,

Date: September 8, 2004  
Reg. No. (Limited Recognition)  
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| <b>FORM PTO - 1449</b><br><b>INFORMATION DISCLOSURE STATEMENT</b> |                    |           |          | <b>ATTORNEY DOCKET NO.: INK-024C1</b><br><b>APPLICANTS:</b> Albert <i>et al.</i><br><b>SERIAL NO.:</b> 10/701,880<br><b>FILING DATE:</b> 11/05/2003 <b>GROUP:</b> 2673 |              |                               |          |
|---|--------------------|-----------|----------|--|--------------|-------------------------------|----------|
| <b>U.S. PATENT DOCUMENTS</b>                                      |                    |           |          |  |              |                               |          |
| EXAM.<br>INIT.  | DOCUMENT<br>NUMBER | DATE      | NAME     | CLASS  | SUB<br>CLASS | FILING DATE IF<br>APPROPRIATE |          |
|   | A1                 | 3,806,893 | 4/23/74  | Ohnishi et al.   | 340          | 173                           | 7/27/72  |
|   | A2                 | 3,850,627 | 11/26/74 | Wells et al.   | 96           | 1.3                           | 9/20/72  |
|   | A3                 | 3,892,568 | 7/1/75   | Ota  | 96           | 1.3                           | 4/17/70  |
|   | A4                 | 4,041,481 | 8/9/77   | Sato   | 340          | 324                           | 10/1/75  |
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|   | A10                | 4,126,854 | 11/21/78 | Sheridon   | 340          | 373                           | 5/5/76   |
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| EXAM<br>INIT.            |     | DOCUMENT<br>NUMBER | DATE     | COUNTRY<br>CODE | CLASS | SUB<br>CLASS | FILING<br>DATE | ABSTRACT<br>ONLY | ENGLISH<br>LANG Y/N |
|                          | B1  | DE4431441C1        | 02/15/96 | DE              | H02J  | 13/00        | 09/03/94       | Y                | Abstract            |
|                          | B2  | DE19500694A<br>1   | 08/08/96 | DE              | G09F  | 9/33         | 01/12/95       | Y                | Abstract            |
|                          | B3  | 0186710A1          | 07/09/86 | EP              | G02F  | 1/133        | 06/13/85       | N                | Y                   |
|                          | B4  | 0361420A2          | 04/04/90 | EP              | G02F  | 1/133        | 09/27/89       | N                | Y                   |
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|                          | B8  | 0684579A2          | 11/29/95 | EP              | G06K  | 11/12        | 04/28/95       | N                | Y                   |
|                          | B9  | GB2306229A         | 04/30/97 | GB              | G02F  | 1/1335       | 09/09/96       | N                | Y                   |
|                          | B10 | JP9031453A         | 02/04/97 | JP              | BO1J  | 13/16        | 07/18/95       | Y                | Y                   |
|                          | B11 | JP01086116         | 03/30/89 | JP              | G02F  | 1/19         | 09/29/87       | Y                | Y                   |
|                          | B12 | JP6089081          | 03/29/94 | JP              | G09G  | 3/36         | 03/19/93       | Y                | Y                   |
|                          | B13 | JP07036020         | 02/07/95 | JP              | G02F  | 1/1333       | 07/19/93       | Y                | Y                   |
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|                          | B18 | WO92/20060         | 11/12/92 | PCT             | G09G  | 3/34         | 05/01/92       | N                | Y                   |
|                          | B19 | WO92/21733         | 12/10/92 | PCT             | C09K  | 19/00        | 05/30/91       | N                | Y                   |
|                          | B20 | WO93/02443         | 02/04/93 | PCT             | G09G  | 3/34         | 07/15/91       | N                | Y                   |
|                          | B21 | WO93/04458         | 03/04/93 | PCT             | G09G  | 3/00         | 08/21/92       | N                | Y                   |
|                          | B22 | WO93/04459         | 03/04/93 | PCT             | G09G  | 3/34         | 08/17/92       | N                | Y                   |
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|--------------------------------------|--|---|----------|-----------------|-----------------|--------------|----------------|------------------|---------------------|
| EXAM.<br>INIT.                       |  | DOCUMENT<br>NUMBER  | DATE     | COUNTRY<br>CODE | CLASS           | SUB<br>CLASS | FILING<br>DATE | ABSTRACT<br>ONLY | ENGLISH<br>LANG Y/N |
|                                      | B29  | WO97/35298  | 09/25/97 | PCT             | GO9G            | 3/36         | 02/26/97       | N                | Y                   |
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| OTHER ART, JOURNAL ARTICLES, ETC.    |  |   |          |                 |                 |              |                |                  |                     |
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|                                      | C2   | A.L. Dalisa, "Electrophoretic Display Technology" <u>Trans. On Electron Devices</u> ED24(7):827-834 (1977)  |          |                 |                 |              |                |                  |                     |
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|                                      | C6   | N.K. Sheridon et al., "10.2/9:25 A.M.: A Photoconductor-Addressed Electrophoretic Cell for Office Data Display" <u>SID 82 Digest</u> , pp 94-95 (1982)                      |          |                 |                 |              |                |                  |                     |
|                                      | C7   | C. Kornfeld, "9.5: A Defect-Tolerant Active-Matrix Electrophoretic Display" <u>SID 84 Digest</u> , pp 142-144 (1984)  |          |                 |                 |              |                |                  |                     |
|                                      | C8   | R.R. Shiffman et al., "An Electrophoretic Image Display with Internal NMOS Address Logic and Display Drivers" <u>Proc. of the SID</u> 25(2):105-115 (1984)                  |          |                 |                 |              |                |                  |                     |
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|                                      | C13  | H. Hosaka et al., "Electromagnetic Microrelays: Concepts and Fundamental Characteristics" <u>Sensors and Actuators A</u> 40:41-47 (1994)                                    |          |                 |                 |              |                |                  |                     |
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